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BOARD OF TRUSTEES COMMUNITY COLLEGE
DISTRICT NO. 508
COUNTY OF COOK AND STATE OF ILLINOIS
NOVEMBER 4, 2021

Office of the Inspector General City Colleges of Chicago

Presentation to the Board of Trustees
November 4, 2021



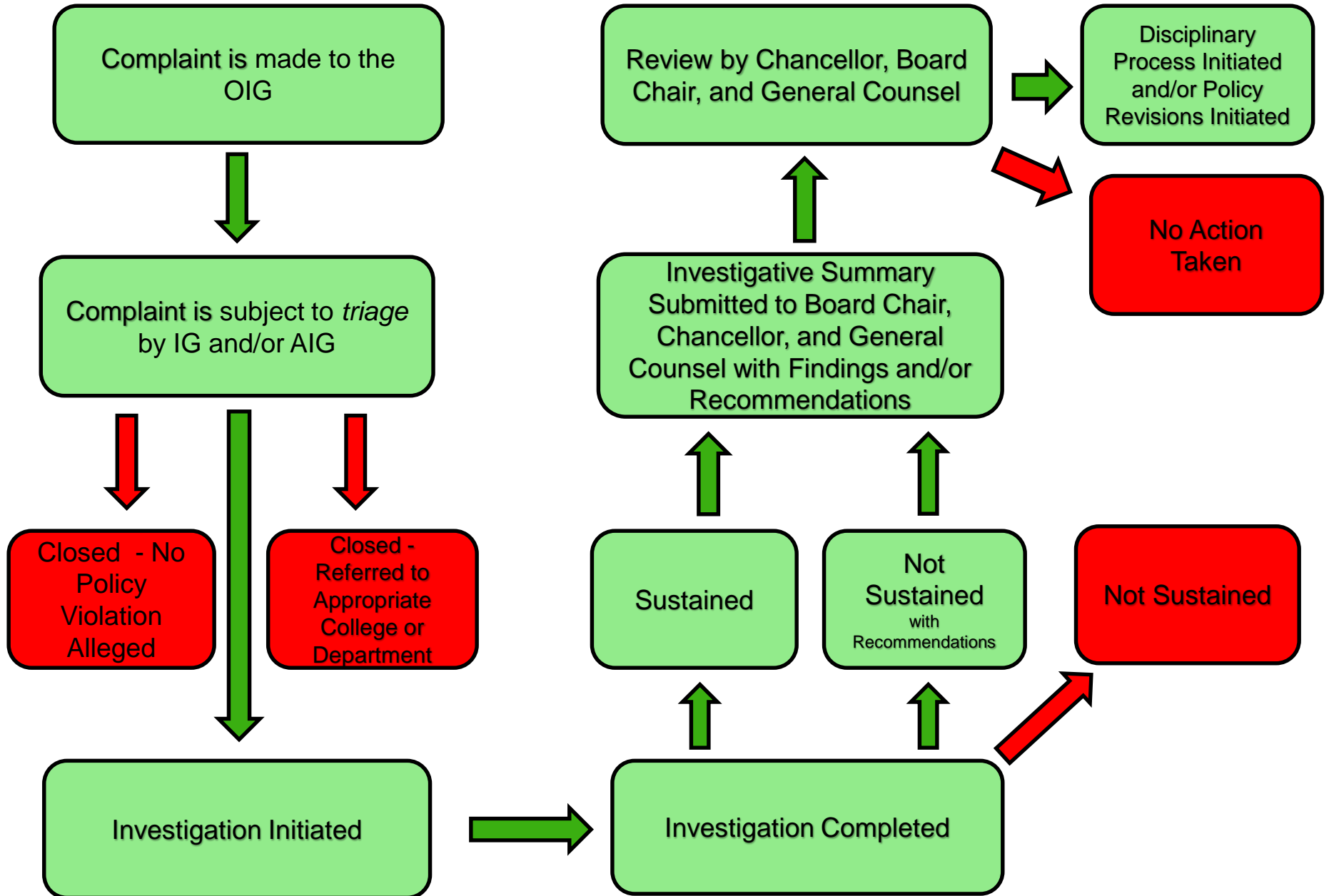
Office of the Inspector General City Colleges of Chicago

- Lamesha Smith was appointed Inspector General effective December 14, 2020. Lamesha Smith has worked for the City Colleges of Chicago Office of the Inspector General since December 6, 2010.
- The Office of the Inspector General has seven budgeted positions:
 1. Inspector General
 2. Assistant Inspector General
 3. Supervising Investigator
 4. Investigator III
 5. Investigator II
 6. Investigator II (Auditor)
 7. Investigator I

Powers and Duties of the OIG (Article 2.7.2 of the Board Bylaws)

The Inspector General shall have the authority to conduct investigations regarding waste, fraud and misconduct by any officer, employee, or member of the Board; any contractor, subcontractor, consultant or agent providing or seeking to provide goods or services to the City Colleges of Chicago; and any program administered or funded by the District or Colleges.

Typical Cycle of a Complaint



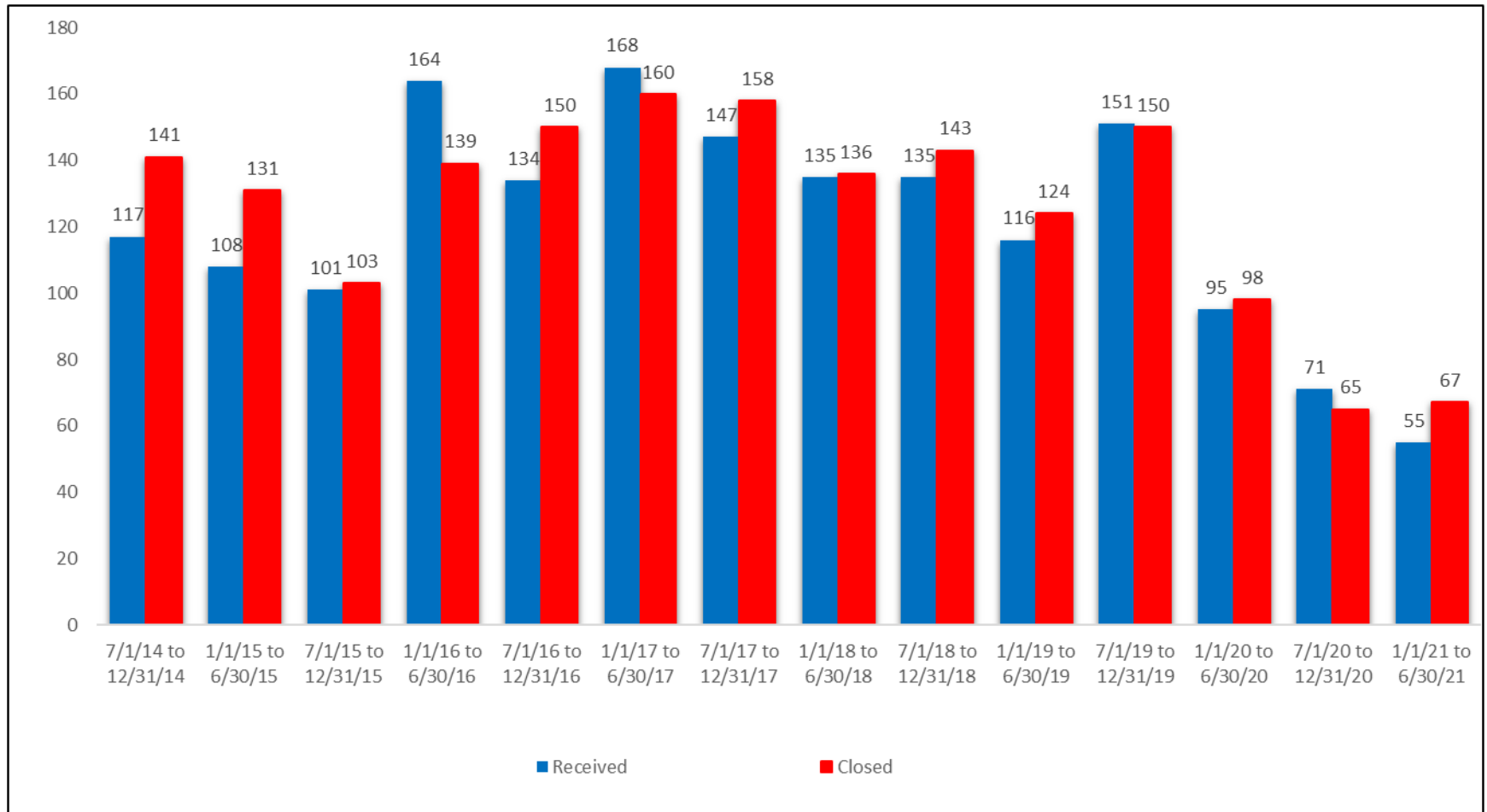
Methods in Which Complaints Were Received - FY 2021

Method	Total Received
Email	105
Telephone	9
OIG Initiated	8
Mail	4
In Person	0
Total	126

Subject Matter of Complaints Received - FY 2021

Subject Matter (Allegation) FY 2021	Number	Percentage
Board Mandated Audit	1	0.79%
Procurement Policy Violation	1	0.79%
Sexual Harassment	1	0.79%
Violation of Drug Free Workplace Policy	1	0.79%
Conduct unbecoming a public employee	1	0.79%
Incompetence in the performance of the position	1	0.79%
Engaging in conduct in violation of the Federal Statutes	1	0.79%
Failing to comply with rules governing health, safety, and sanitary conditions	1	0.79%
Engaging in work while under the influence of alcohol	1	0.79%
Hostile Work Environment	1	0.79%
Violation of Responsible Computer Use Policy	1	0.79%
Engaging in conduct in violation of the Illinois Compiled Statutes	1	0.79%
OIG initiated review	2	1.59%
Unfair Treatment	2	1.59%
Unknown	3	2.38%
Violation of Outside Employment Policy	4	3.17%
Misappropriation/waste of funds / Theft	5	3.97%
Inattention to Duty	5	3.97%
Unfair Treatment	5	3.97%
Violation of CCC Ethics Policy	7	5.56%
Discourteous Treatment	11	8.73%
Discrimination	11	8.73%
Falsification of attendance records	12	9.52%
Giving Preferential Treatment	12	9.52%
Residency	14	11.11%
Fraud (including grants, financial aid, tuition, suspicious emails, and identity theft)	21	16.67%
Total	126	100%

Complaints Received or Initiated by the OIG (blue) and Complaints Closed (red) (FY 2015 through FY 2021)



Reasons Complaints Closed - FY 2021

Complaints Closed During Fiscal Year 2021		
Reason Closed	Number	Percentage
Board Mandated Audit	1	0.76%
Sustained	2*	1.52%
Not Sustained but Report Submitted with Recommendations	1	0.76%
Not Sustained / No Policy Violation	61	46.21%
Referred / Deferred	35	26.52%
Subject Inactive	12	9.09%
Duplicate Complaint	16	12.12%
Complaint included with another active investigation	1	0.76%
Administratively Closed	3	2.27%
Total	132	100.00%
Note: The OIG investigated 66 (50%) of the 132 complaints that were closed in FY 2021.		

* During FY 2021, the OIG issued four reports documenting sustained investigations. However, two of those four reports were regarding OIG Case Number 17-0179, which remains an active investigation while the other defendants' criminal cases are pending. As such, this table reflects two sustained cases instead of four.

Average Calendar Days to Close – FY 2021

Reason Closed	7/1/20 to 12/31/20		1/1/21 to 6/30/21	
	Number	Average Calendar Days to Close	Number	Average Calendar Days to Close
Sustained	2*	485	0**	-
Completed Board Mandated Audit	0	-	1	148
Not Sustained but Report with Recommendations	0	-	1	206
Not Sustained / No Policy Violation	29	250	32	300
Referred / Deferred	18	1	17	0
Subject Inactive	1	0	11	44
Duplicate Complaint	11	2	5	1
Complaint included with an active investigation	1	1	0	-
Administratively Closed	3	372	0	-
Totals	65		67	

*During the period of 7/1/20 to 12/31/20, the OIG issued three reports documenting sustained investigations. However, one of those three reports was regarding OIG Case Number 17-0179, which remains an active investigation while the other defendants' criminal cases are pending.

** During the period of 1/1/21 to 6/30/21, the OIG issued one report documenting a sustained investigation. That report was regarding OIG Case Number 17-0179, which remains an active investigation while the other defendants' criminal cases are pending.

OIG Reports Submitted – FY 2021

Subject Matter	Number
Investigation of time and attendance / payroll issues at a College	1
Violation of CCC Residency Policy	1
Not Sustained with Recommendations (Theft)	1
Board Mandated Audit (Residency Audit)	1
Engaging in Conduct Contrary to the Federal Statutes	2
Total	6

Results of OIG Reports Submitted During FY 2021

Case Number	Subject Matter	Title	OIG Recommendation	Result
20-0207	Investigation of time and attendance / payroll issues at a College	N/A	Policy Recommendation	Awaiting Response
19-0076	Violation of CCC Residency Policy	Full-time Faculty	Termination / DNRH	Termination / DNRH
21-0043	Not Sustained with Recommendations (Theft)	Call Center Representative	Reimbursement and Policy Recommendation	Employee reimbursed CCC \$102.91 / HR implementation of new off-boarding processes
21-0087	Board Mandated Audit (Residency)	N/A	N/A	OIG initiated two residency investigations as a result of this audit
17-0179	Engaging in Conduct Contrary to the Federal Statutes (Wire Fraud)	CCC vendor and principal	Permanent Debarment	Permanent Debarment effective August 5, 2021
17-0179	Engaging in Conduct Contrary to the Federal Statutes (Wire Fraud)	CCC vendor and principal	Permanent Debarment	Permanent Debarment effective August 5, 2021

\$16,000.00 in Restitution to CCC During Calendar Year 2021 as a Result of an OIG Initiated Investigation (Case Number 17-0179)

As a result of an investigation initiated by the OIG and worked in partnership with the FBI and the Office of the United States Attorney for the Northern District of Illinois, during calendar year 2021, CCC has received a total of **\$16,000.00*** in restitution from two now former CCC vendors. As a result of OIG recommendations, effective August 5, 2021, the Board of Trustees authorized the permanent debarment of those two vendors and their principals from doing any future business with CCC.

* As of October 12, 2021

Questions?

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