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COMMUNITY COLLEGE DISTRICT NO. 508
OCTOBER 4, 2018

Office of the Inspector General City Colleges of Chicago

Presentation to the Board of Trustees October 4, 2018



Inspector General City Colleges of Chicago

- Title first used by CCC in 2003 although similar duties since 1998.
- John A. Gasiorowski is the 4th Inspector General for the City Colleges of Chicago, but the first since the significant enhancements to the powers and duties of the OIG with the adoption (on July 14, 2010) of amendments to Board Rule 2.6 et seq. / now Article 2.7 et seq. of the Board Bylaws.

Powers and Duties of the OIG (Article 2.7.2 of the Board Bylaws)

The Inspector General shall have the authority to conduct investigations regarding waste, fraud and misconduct by any officer, employee, or member of the Board; any contractor, subcontractor, consultant or agent providing or seeking to provide goods or services to the City Colleges of Chicago; and any program administered or funded by the District or Colleges.

Powers and Duties of the OIG

(Article 2.7.2 of the Board Bylaws)

- a) To promote economy, efficiency, effectiveness and integrity in the administration of the programs and operations of the District by identifying..., and by recommending.....
- b) To receive and register complaints....
- c) To investigate and audit...either in response to a complaint or on the IG's own initiative.
- d) To report to the Board.....
- e) To request and receive information related to an investigation or audit from any officer, employee agent or contractor of the District.

Other Provisions of Article 2.7 of the Board Bylaws

- Independence – Article 2.7.1
 - Term
 - Removal only for cause
- Confidentiality – Article 2.7.3
- Obligation to cooperate – Article 2.7.4(b)
- Prohibition against retaliation – Article 2.7.4(c)
- Bi-annual reporting requirement – Article 2.7.5

OIG Current Resources

➤ 7 positions (all full-time)

- 1 - Inspector General
- 1 - Assistant Inspector General
- 1 - Supervising Investigator
- 1 - Investigator III
- 1 - Investigator II
- 1 - Investigator II – auditor
- 1 - Investigator I

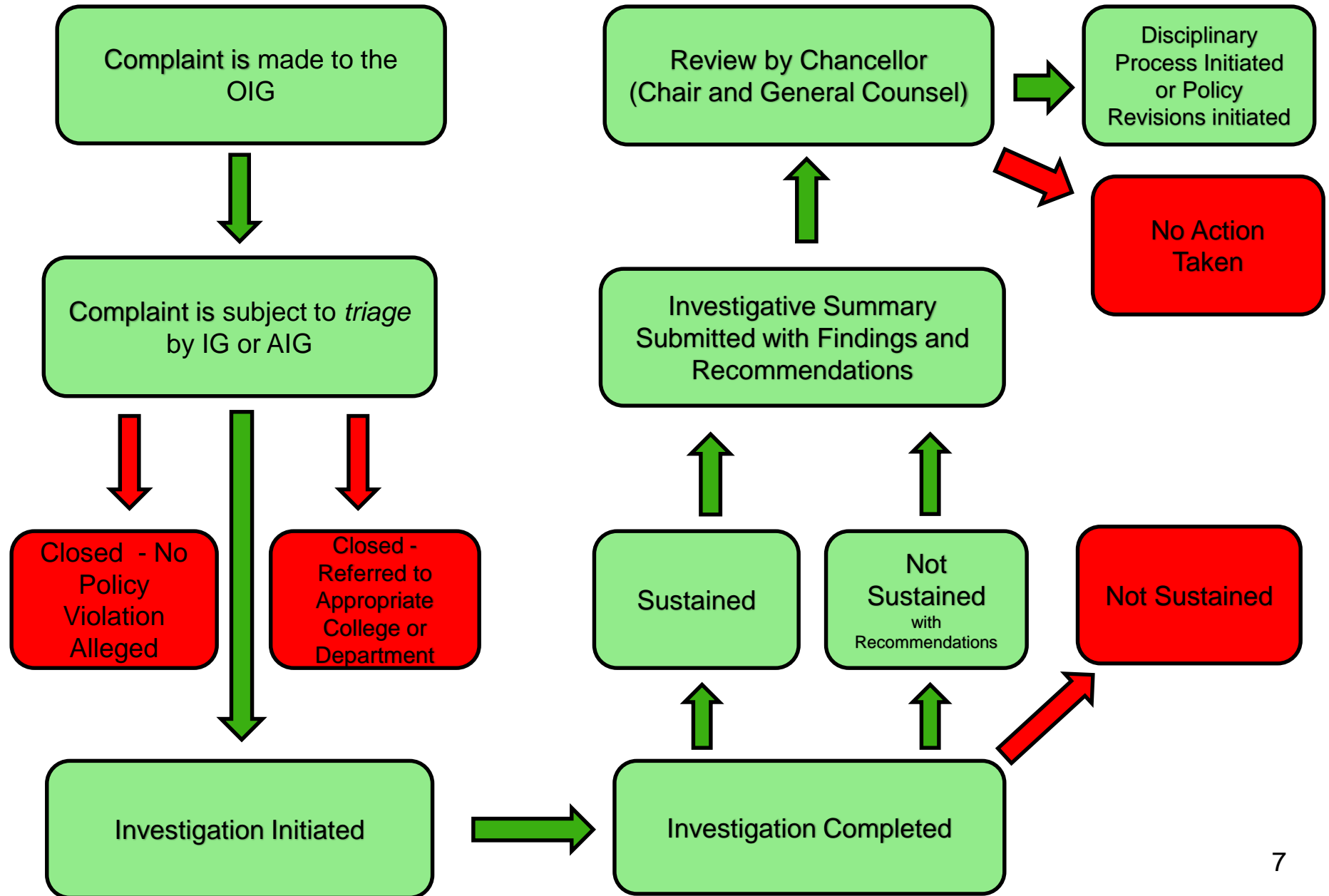
➤ Budget

- FY19 - \$794,443 (94.08% are employee salary/benefits)
- FY18 - \$780,515
- FY17 – \$825,558 (audit)

➤ Off-site office space

- 740 North Sedgwick, Suite 310

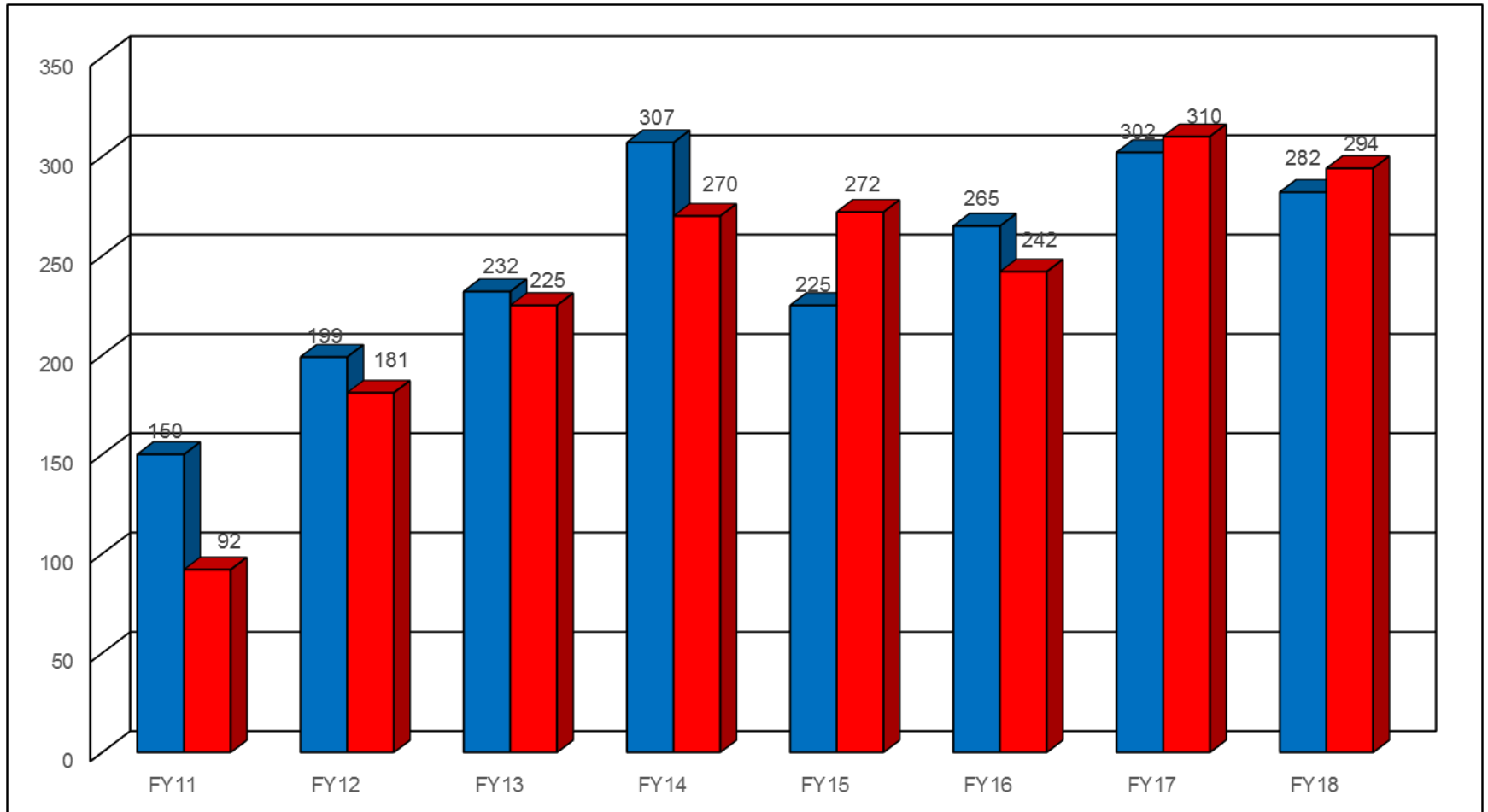
Typical Cycle of a Complaint



How Are Complaints Received? (FY18)

Method	Total Received
Email	185
Telephone	51
OIG Initiated	28
In Person	11
Mail	5
Newspaper report	2
Totals	282

Complaints Received / Initiated (blue) and Complaints Closed (red) (through FY18)



Subject Matter of Complaints Received (FY17 and FY18)

Subject Matter (Allegation)	FY17	FY 18
Use of CCC property for unauthorized purposes	8	2
Violation of the Acceptable Computer Use Policy	3	2
Failure to maintain prerequisites of the position	0	3
Waste of Funds	1	3
OIG initiated reviews	2	4
Violation of one's collective bargaining agreement	4	4
Violation of Outside Employment Policy	6	4
Conduct unbecoming a public employee	18	6
Engaging in conduct in violation of the Illinois Compiled Statutes	13	6
Inappropriate use of sick leave	0	6
Giving preferential treatment	6	8
Violation of Miscellaneous CCC Policies	28	9
Incompetence in the performance of the position	5	12
Misappropriation of funds / Theft	18	13
Violation of CCC Ethics Policy	24	13
Fraud (including financial aid or tuition)	21	15
Discourteous Treatment	13	17
Residency	28	29
Falsification of attendance records	49	40
Inattention to duty	33	41
Sexual or other harassment / discrimination / retaliation	22	45
Totals	302	282

Reason Closed (FY 17 and FY18)

Reason Closed	FY17	FY18
Sustained	25	28
Not Sustained / No Policy Violation	138	100
Review with Recommendations	3	1
Referred / Deferred	81	88
Subject Inactive	27	24
Duplicate Complaint	21	29
Complaint included with another active investigation	9	19
Board Mandated Audit	1	1
Other	5	4
Totals	310	294

Average Calendar Days to Close (FY18)

Reason Closed	7/1/17 to 12/31/17		1/1/18 to 6/30/18	
	Number	Average Calendar Days to Close	Number	Average Calendar Days to Close
Sustained	14	153	14	269
Review with Recommendations	1	78	0	--
Not Sustained / No Policy Violation	51	236	49	278
Referred / Deferred	49	1	39	1
Completed Board Mandated Audit	0	--	1	120
Other	43	56	33	44
Totals	158		136	

OIG Reporting Duties

- Board Bylaw 2.7.3
 - To the Chancellor, the Board Chairperson and the General Counsel at the conclusion of the investigation with recommendations of disciplinary or other action.
- Board Bylaw 2.7.5
 - To the Chancellor and the Board by March 1st and September 1st with a summary of investigations and audits resulting in sustained findings of waste, fraud or misconduct

Subject Matter of Reports Submitted as reported in the Bi-Annual Reports in FY18

Allegation	Reports Submitted
Board Mandated Audit	1
Engaging in conduct contrary to IL Compiled Statutes	1
Ethics Violation / Residency	1
Falsification of Employment Records	1
Fraud	1
Misuse of Sick Leave	1
Procurement Violations	1
OIG Review	1
Sexual Harassment (Not Sustained)	1
Theft	1
Violation of Computer Use Policy	1
Violation of Outside Employment Policy	2
Residency	4
Ethics Violation	6
Falsification of Attendance Records	7
Total	30

Disciplinary Action Taken (as of 9/19/2018)

Disciplinary Action	FY17		FY18		Total
	7/1/16 to 12/31/16	1/1/17 to 6/30/17	7/1/17 to 12/31/17	1/1/18 to 6/30/18	
Termination	3	6	1	5	15
Resignation / Retirement	6	0	7	2	15
Suspension	0	0	0	2	2
Other	3	2	3	2	10
Debarment (vendors)	0	11	0	0	11
No Action Taken	1	1	0	0	2
Outstanding	0	0	2	1	3
Total Recommendations	13	20	13	12	58

Implementation of OIG Disciplinary Recommendations

	FY17	FY18	Totals
Total OIG Disciplinary Recommendations	33	25	58
Total Completed	33	22	55
% Completed	100%	88%	95%
Pending	0	3	3
% Pending	0%	12%	5%
Total OIG Disciplinary Recommendations Implemented	31	22	53
% Implemented	94%	100%	96%
Total OIG Disciplinary Recommendations Not Followed	2	0	2
% Not Followed	6%	0%	4%

Other Recommendations (FY18)

- ✓ Policy recommendations
 - ✓ Recommendations made in 7 reports
 - ✓ Received responses regarding 5
- ✓ Recoupment recommendations
 - ✓ 12 recommendations made totaling \$25,202.72
 - ✓ \$3,999.44 collected
- ✓ Restitution in criminal matters
 - ✓ \$2,700 received